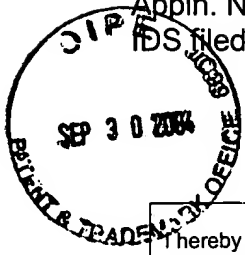


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Appln. No. 09/698,044  
DS filed September 30, 2004

PATENT  
Customer No. 22,852  
Attorney Docket No. 7451.0001-16  
InterTrust Ref. No. IT-5.6.1 (US)

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 30, 2004. Express Mail Label No.: EV 527340718 US

Signed: Mary McCauley  
Mary A. McCauley

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
	)	
Karl L. GINTER et al.	)	Group Art Unit: 2132
	)	
Application No.: 09/698,044	)	Examiner: DARROW, Justin T.
	)	
Filed: October 30, 2000	)	
	)	
For: SYSTEMS AND METHODS FOR	)	
SECURE TRANSACTION	)	
MANAGEMENT AND	)	
ELECTRONIC RIGHTS	)	
PROTECTION	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. To the best of our knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action after the filing of a Request for Continued Examination in the above-referenced application. Copies of the listed documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited document do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.


Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

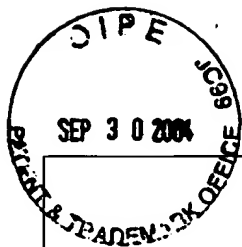
Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: September 30, 2004

By:   
Andrew B. Schwaab  
Reg. No. 38,611

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**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Atty. Docket No.: 07451.0001-16000

Application No.: 09/698,044

Applicant: Karl L. GINTER et al.

Filing Date: October 30, 2000

Group: 2132

**U.S. PATENT DOCUMENTS**

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	4,748,561	5/31/1988	Brown			
	4,757,914	7/19/1988	Roth et al.			
	5,206,951	4/27/1993	Khoyi et al.			
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	5,917,912	6/29/1999	Ginter et al.			
	6,009,170	12/28/1999	Sako et al.			
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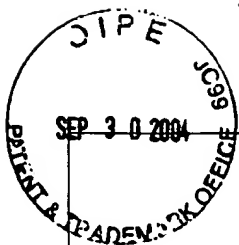
**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Document Number	Date	Country	Class	Sub Class	Translation Yes or No
	9 004 79	12/1/1984	Belgium			
	AU-A-36815/97	2/19/1998	Australia			
	AU-A-36816/97	2/19/1998	Australia			
	AU-A-36840/97	2/19/1998	Australia			
	EP 0 913 757 A2	5/6/1999	EPO			
	WO 98/09209	3/5/1998	PCT			

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Examiner Initial*	Document Number	Date	Country	Class	Sub Class	Translation Yes or No
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	WO 98/37481	8/27/1998	PCT			
	WO 98/45768	10/15/1998	PCT			
	WO 99/01815	1/20/1999	PCT			
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OMB No. 0651-0011

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Applicant: Karl L. GINTER et al.

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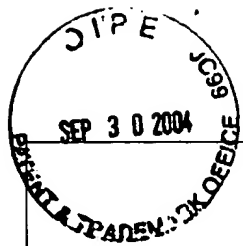
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U.S. Appl. No.: 09/698,044  
References for IDS  
Submitted 09/30/04

-Foreign Refs  
-Other Refs

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